

SlateStone

PRIVACY POLICY

FACTS	WHAT DOES SLATESTONE (SLATESTONE WEALTH, LLC OR SLATESTONE PRIVATE CLIENT LLC) DO WITH YOUR PERSONAL INFORMATION?	
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social security number or Tax I.D number ▪ Income ▪ Assets ▪ Name and Address ▪ Email Address ▪ Phone Numbers ▪ Transaction history/Investment Activity ▪ Account Numbers 	
HOW?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does SlateStone Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts(s) or respond to court orders and legal investigations.	YES	NO
For our marketing purposes - to offer our products and services to you	NO	We do not share
For joint marketing with other financial companies	NO	We do not share
For our affiliates' everyday business purposes - information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes – information about your creditworthiness	NO	We do not share

What we do	
How does SlateStone protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does SlateStone collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ Enter into an investment advisory contract ▪ Seek financial advice ▪ Make deposits or withdrawals from your account ▪ Tell us about your investment or retirement portfolio ▪ Give us your employment history <p>We also collect your personal information from your custodians which you have authorized us to receive information from.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for non-affiliates to market to you <p>SlateStone only shares information in order to process your transactions, maintain your account(s) or respond to court orders and legal investigations. We may share with our affiliates in order to provide financial services.</p> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> ▪ SlateStone shares with affiliates as permitted by law and to provide services, but not for marketing purposes unless directed to by you.
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> ▪ SlateStone does not share with non-affiliates so they can market to you.
Joint Marketing	<p>A formal agreement between non-affiliate financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ▪ SlateStone does not jointly market.
<p>Massachusetts: SlateStone has established standards and practices to ensure compliance with the provisions of M.G.L. c.93H and 201 CMR 17.00 relative to the safeguarding of personal information contained in both paper and electronic records for residence of the Commonwealth of Massachusetts.</p> <p>California: SlateStone has established standards and practices to comply with the restrictions in limiting the disclosures of information relating to private trusts (including managed agency accounts) for which SlateStone serves as investment manager.</p>	
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