

PRIVACY POLICY

Facts	What Does SlateStone Wealth, LLC do with Your Personal Information?		
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.		
What?	The types of personal information we collect can include:		
	<div><div>Name Address Phone Numbers Email Date of Birth Social Security number</div></div>	<div><div>Income/Assets Account Numbers Account transactions Investment experience</div></div>	
	When you are no longer our client, we will continue to hold your information and share it as described in this notice.		
How?	All financial companies need to share clients’ personal information to run their everyday business. In the section below, we list the reasons why financial companies can share their clients’ personal information, the reasons SlateStone chooses to share personal information and whether you can limit this sharing.		
Reasons We Can Share Your Personal Information		Does SlateStone Share?	Can You Limit This Sharing?
For our everyday business purposes, such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, auditors, examiners, compliance reviews or report to credit bureaus		Yes	No
For our marketing purposes to offer our products and services to you		No	We don’t share
For joint marketing with other financial companies		No	We don’t share
For non-affiliates to market to you		No	We don’t share
What We Do			
How does SlateStone protect my personal information?	<div>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include implementing cybersecurity protocols and safeguards, using secured files, secure buildings, and limiting access to only those employees who need your personal information to properly service your account and who supervise employees’ activities.</div> <div>Our online environment uses security technologies, including layered security, and two-party authentication protocols where applicable for access / control over personal information.</div>		

How does SlateStone collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Enter into an investment advisory relationship • Tell us about your investment or retirement portfolio • Seek advice about your investments <p>We also collect your personal information from others such as your broker or custodian.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes—information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> • We have no affiliated firms.
Non-Affiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> • Non-affiliates we share information with include the third-party vendor list below.
Joint marketing	<p>A formal agreement between non-affiliate financial companies that together market financial products or services to you:</p> <ul style="list-style-type: none"> • We do not share your information with anyone for the purposes of marketing to you.
Third Parties	
Third Parties	<p>Email archiving system Portfolio Management Reporting System Customer Management System Financial Planning Software System Compliance/Legal/Audit Professionals SubAdvisor and Investment Managers Consultants/Promoter (if you are introduced by one)</p>

Additional Information Regarding our Privacy Policy

Information for California, North Dakota, and Vermont Customers

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

Questions?

Email us at info@SlateStone.com or call us at 800-773-9282.

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